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K – 3393

Reg. No. :

Name :

Fifth Semester B.Com. Degree Examination, February 2021

First Degree Programme under CBCSS

Stream 1 : Finance

Elective Course III : CO 1561.1

FINANCIAL SERVICES IN INDIA

(2018 Admission – Regular)

Time : 3 Hours

Max. Marks : 80

PART – A

Answer **all** the questions. Each question carries **1** mark

1. Define Financial Services.
2. What are Derivatives?
3. What is CAGR?
4. Who is a 'Lead Manager' in IPO?
5. Comment on the concept, 'Listing of Securities'.
6. What are Commercial Papers?
7. What is Portfolio Management?
8. Who are 'Angel Investors'?

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9. Define Credit Rating.
10. Name any two foreign Credit Rating Agencies.

(10 × 1 = 10 Marks)

PART – B

Answer **any eight** questions. Each question carries **2** marks.

11. Compare IPO and FPO.
12. Give a brief outline on 'Systematic Investment Plan'.
13. Cite any two differences between 'Rights Issue' and 'Public Issue'.
14. What is the need for taking Health Insurance?
15. What is 'Sensex' and 'Nifty'?
16. Who are 'Sponsors' and 'Trustees' in Mutual Funds?
17. State the need for Portfolio Revision.
18. Specify the players in Indian Money Market.
19. What do the following expressions signify in Credit Rating?
(a) AAA (b) BB
20. Distinguish between a Broker and a Depository Participant.
21. State any two differences between Hire Purchase and Instalment system.
22. What is Infrastructure Financing?
23. What is Corporate Counselling?

24. Who is an Underwriter?
25. Give the meaning for 'Credit Worthiness'.
26. What is meant by 'Financial Inclusion'?

(8 × 2 = 16 Marks)

PART – C

Answer **any six** questions. Each question carries **4** marks.

27. Briefly explain the functions of Financial Markets.
28. Compare Operating Lease and Financial Lease.
29. Enumerate the stages in Venture Capital Financing.
30. Summarise the functions of a Factor.
31. How advantageous is Credit Rating towards Investors in stock market?
32. Outline the important functions of Merchant Bankers briefly.
33. Give the distinctions between Factoring and Forfeiting.
34. "Financial Innovations are indispensable due to various reasons". Justify.
35. "SEBI is the regulator of Indian Capital Market". Analyse this statement.
36. Summarise the different Money Market Instruments based on their features.
37. Who are major players in Primary Market? Explain.
38. "In spite of suitable legislative measures, the Indian Financial system remains weak". Why?

(6 × 4 = 24 Marks)

PART – D

Answer **any two** questions. Each question carries **15** marks.

39. Trace out the contributions of Financial Services in Indian Financial System.
40. Elucidate in detail the objectives, roles and functions of CRISIL towards credit rating in India.
41. "Small drops of water make big ocean". Keeping this principle in mind, describe the problems and potentials in Mutual Fund Investments.
42. What is Lease Financing? State its nature. What opportunities do Leasing provide to both Lessor and Lessee?
43. Evaluate the different methods of raising funds in the Primary Market with merits and demerits.
44. Classify the different Fund-based and Non-Fund based financial services in India.

(2 × 15 = 30 Marks)